

WELCOME TO CI INVESTMENT SERVICES

FOCUSED ON YOUR SUCCESS

CI Investment Services Inc. (CIIS) is a “made-in-Canada” Carrying Broker partner with your Investment Dealer. Our goal is to make doing business easier for your advisor so that they can spend more time managing your portfolio. We offer a full suite of innovative brokerage and trading services designed to minimize cost and maximize efficiency to you.

ABOUT US

CI Investment Services is:



An innovative platform that provides custody, brokerage services and trade execution for independent Investment Dealers



Wholly owned and supported by CI Financial—one of Canada's largest independent financial institutions with total assets exceeding \$380 billion



Headquartered in Toronto and registered in all provinces and territories of Canada

CARRYING BROKER SERVICES

The role of the Carrying Broker is to support the Investment Dealer and its Advisors by providing crucial back-office functions.

Investment Dealer—Aligned Capital Partners Inc.

SUPPORTS

Advisors to provide investment advice and management

SERVICES

client inquiries and requests for information

SUPERVISES

registered member activities

Carrying Broker—CI Investment Services

PROVIDES

custody and consolidated clearing services for client assets, maintaining accurate records of account holdings

SEGREGATES

securities to ensure safekeeping

DISTRIBUTES

account statements, trade confirmations, and tax documents

Our Advantages



SAFETY AND SECURITY OF ASSETS

backed by CI Financial, regulated by CIRO*, and a member of CIPF**



DEDICATED OPERATIONS TEAM

focused solely on your accounts and transactions



DIGITAL STATEMENT AND CONFIRM DELIVERY

via our client portal



COMPREHENSIVE TAX PACKAGES

to simplify the process for advisors, accountants, and clients

REGULATORY OVERVIEW

CI Investment Services is:

- ☑ *A member of **the Canadian Investment Regulatory Organization (CIRO)**, the national self-regulatory organization that oversees all investment dealers and trading activity on debt and equity marketplaces in Canada.
- ☑ **A member of **the Canadian Investor Protection Fund (CIPF)**, that provides coverage within certain limits.
- ☑ A self-clearing member of the **CDS, DTC, CDCC, OCC, FundServ, and Cannex** depositories.
- ☑ A participant of **the Toronto Stock Exchange (TSX), the TSX Venture Exchange (TSX-V), the Canadian Securities Exchange (CSE), Alpha, Pure, Chi-X, Omega, and NEO.**
- ☑ A wholly owned subsidiary of **CI Financial Corp. (TSX: CIX)**, an independent Canadian company offering global asset management and wealth management advisory services.

FOR MORE INFORMATION, CONTACT US AT SUPPORT.CIIS@CI.COM.



ciinvestmentservices.com

CI Investment Services Inc. (formerly BBS Securities Inc.) is a wholly owned subsidiary of CI Financial Corp. CI Financial (TSX: CIX) is an independent Canadian company offering global asset management and wealth management advisory services. CI Investment Services Inc. is also a participant of the Toronto Stock Exchange (TSX), the TSX Venture Exchange (TSX-V), the Canadian Securities Exchange (CSE), Alpha, Pure, Chi-X, Omega, and NEO. The parent company of CI Investment Services Inc. is a shareholder of Aequis Innovations Inc., the parent company of Aequis Neo Exchange, has representation on the Aequis Board of Directors. For more information please visit www.ciinvestmentservices.com.